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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/802,779	03/08/2001	Jame E. Hildreth	JHU1710-3

CONFIRMATION NO. 9936

## FORMALITIES LETTER



\*OC00000006227634\*

Lisa A. Haile, Ph.D.  
Gray Cary Ware & Freidenrich LLP  
Suite 1600  
4365 Executive Drive  
San Diego, CA 92121-2189

Date Mailed: 06/26/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/06/2001 S. INASS1 00000008 501355 09802779

FILED UNDER 37 CFR 1.53(b)

01 FC:E01	370.00 OP
02 FC:E03	225.00 OP
03 FC:E02	84.00 OP
04 FC:E05	65.00 OP

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$305.
  - \$225 for 25 total claims over 20.
  - \$80 for 2 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 725.

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



Attorney Docket No.: JHU1710-3  
PATENT  
\$ sector ✓

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: James E. Hildreth Art Unit: 1651  
Application No.: 09/802,779 Examiner Unknown  
Filed: March 8, 2001  
Title:  $\beta$ -CYCLODEXTRIN COMPOSITIONS, AND USE TO PREVENT  
TRANSMISSION OF SEXUALLY TRANSMITTED DISEASES

Box MISSING PARTS  
Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed June 26, 2001,  
enclosed are:

1. A copy of the Notice to File Missing Parts of Application (1 page);
2. Declaration (2 pages);
3. Power of Attorney by Assignee (2 pages);
4. Petition for One Month Extension of Time (2 pages)
4. Check No. 490276 in the amount of \$780.00; and
5. Return Receipt Postcard.

CERTIFICATION UNDER 37 CFR §1.8	
I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, September 26, 2001, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231.	
Aldon Griffis (Name of Person Mailing Paper)	
Aldon Griffis (Signature)	September 26, 2001 (Date)

In re Application of  
James E. Hildreth  
Application No.: 09/802,779  
Filed: March 8, 2001  
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PATENT  
Attorney Docket No.: JHU1710-3

Applicants claim small entity status in the above-identified application. Pursuant to 37 C.F.R. § 1.27, a verified statement claiming small entity status is not required.

The Fee for this Response is calculated as follows:

For	Number Filed		Number Extra Claims	Large Entity Rate	Small Entity Rate	Calculations
Total Claims	45-20	=	25	x \$18.00	x \$9.00	\$ 225.00
Independent Claims	5-3	=	2	x \$80.00	x \$40.00	\$ 80.00
Multiple Claims	0		0	\$270.00	\$135.00	\$ 0
Basic Filing Fee				\$710.00	\$355.00	\$ 355.00
					TOTAL FEE	\$ 660.00

Enclosed is Check No. 490276 in the amount of \$780.00; which consists of \$355.00 for the filing fee, \$305.00 for additional claims fee, \$65.00 for surcharge late filing fee and \$55 for one (1) month extension of time fee. The Commissioner is hereby authorized to charge any additional fees that may be associated with this communication, or credit any overpayment to Deposit Account No. 50-1355. A duplicate copy of this Transmittal Sheet is enclosed.

Respectfully submitted,

Date: September 26, 2001



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**USPTO CUSTOMER NUMBER 28213**  
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